

Reverse Mathematics and
the Heine/Borel Theorem

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January 2001

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Thm: (\mathbf{RCA}_0) The following are equivalent:

- 1) \mathbf{WKL}_0 : Every infinite 0-1 tree contains an infinite path.
- 2) Heine/Borel Theorem for $[0, 1]$:
If $\langle (c_i, d_i) \mid i \in \mathbb{N} \rangle$ is a sequence of open intervals that cover $[0, 1]$, then some finite subsequence covers $[0, 1]$.

This theorem appears in Friedman's 1976 abstracts [1].

For the proof, see page 127 of Simpson's book [3].

Picture-sketch of the proof that \mathbf{WKL}_0 implies Heine/Borel.

Strategy: Given a cover, build a tree.

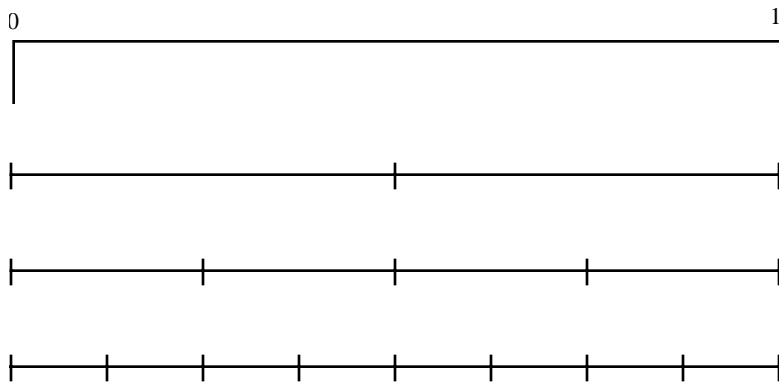


Figure 1: Subintervals of $[0,1]$.

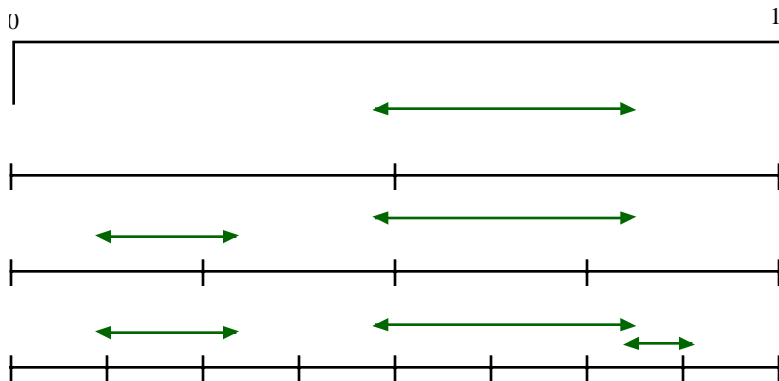


Figure 2: Subintervals with successive elements of the cover.

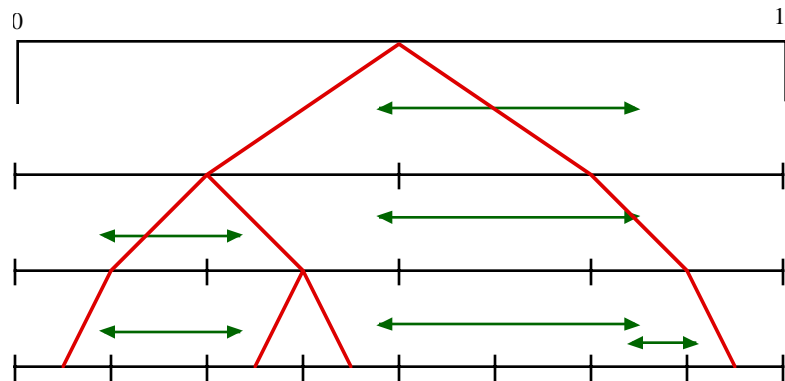


Figure 3: Elements of the cover and the associated 0-1 tree.

Picture-sketch of the proof that Heine/Borel implies **WKL**₀.

Strategy: Given a tree, build a cover.

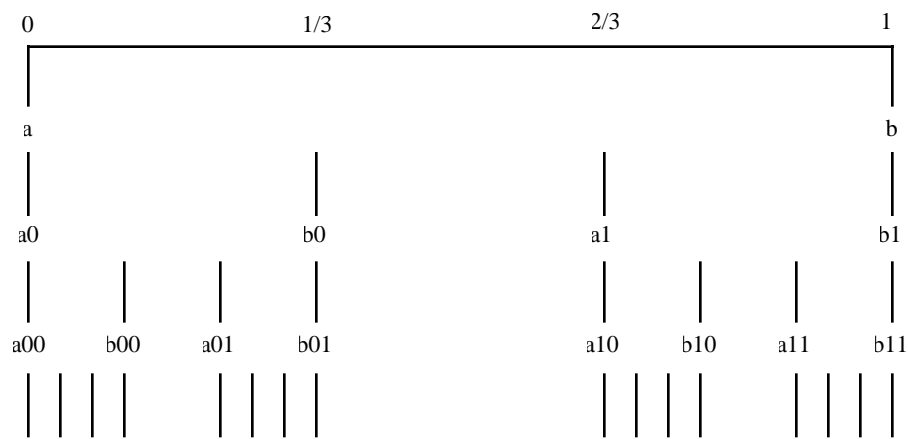


Figure 1: Points in $[0,1]$ associated with the Cantor set.

Picture-sketch of H/B implies \mathbf{WKL}_0 , continued.

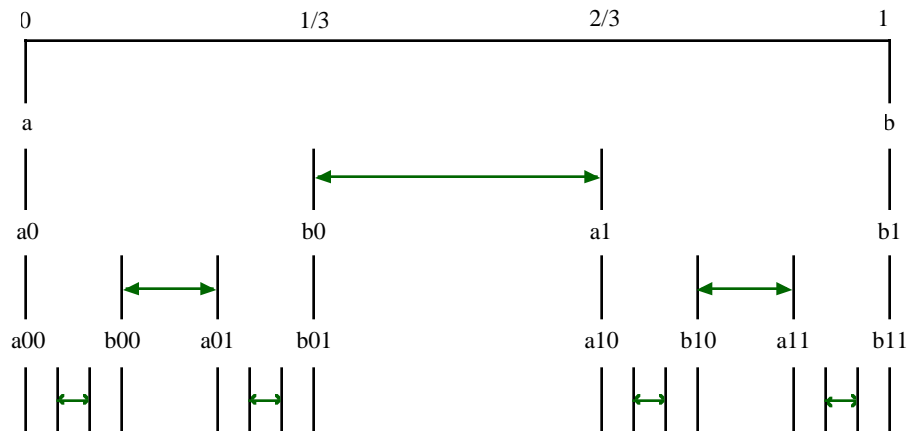


Figure 2: The “middle third” open intervals
(b_0, a_1), (b_{00}, a_{01}), (b_{10}, a_{11}), etc.

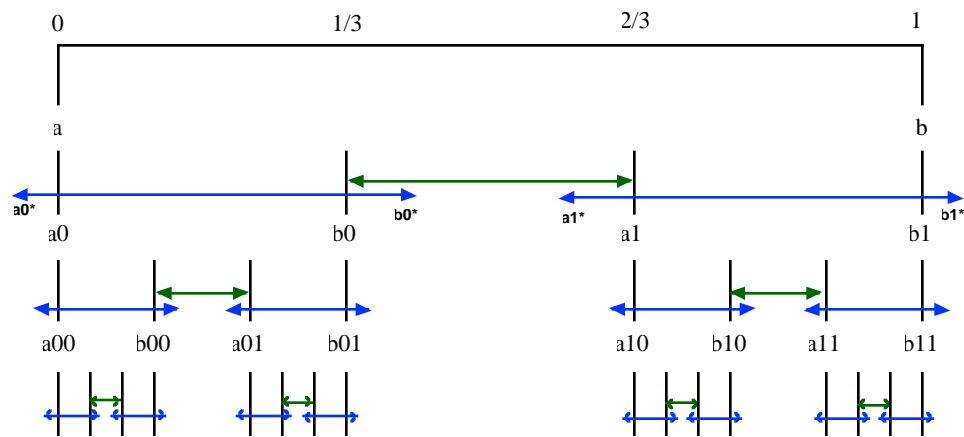


Figure 3: Intervals covering the Cantor set:
(a_0^*, b_0^*), (a_1^*, b_1^*), (a_{00}^*, b_{00}^*), etc.

Picture-sketch of H/B implies \mathbf{WKL}_0 , continued.

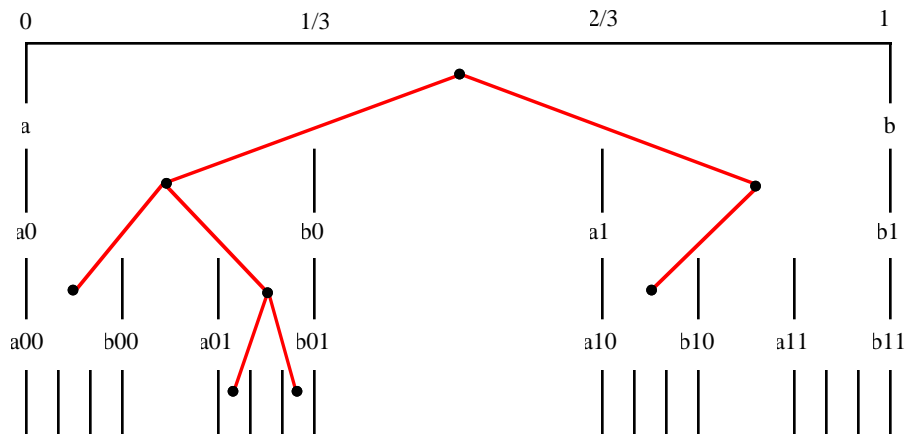


Figure 4: Embedded tree

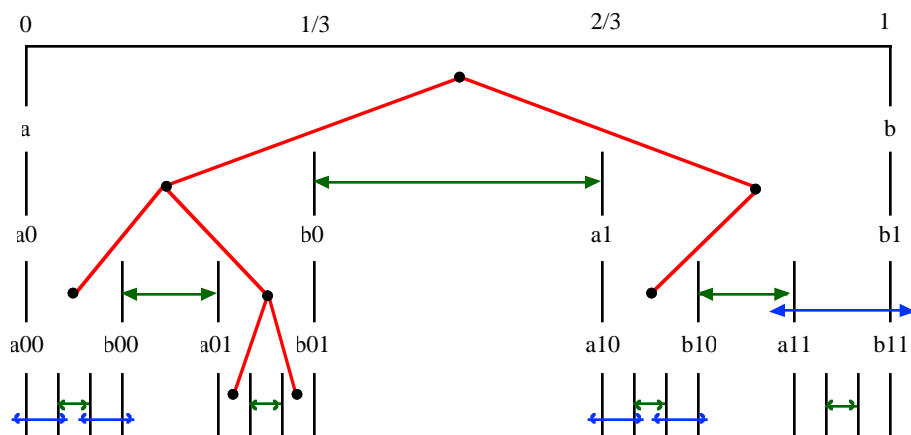


Figure 5: Embedded tree with associated cover of $[0,1]$

Thm: (**RCA**₀) The following are equivalent:

1) **WKL**₀.

2) Countable Heine/Borel theorem:

If X is a closed subset of the rationals in $[0, 1]$ and $\langle (c_i, d_i) \mid i \in \mathbb{N} \rangle$ is a sequence of open intervals that cover X , then some finite subsequence covers X .

Note: A closed set is the complement of a union of open intervals.

This result is tangentially related to Friedman's new results on comparability.

The proof will appear in Hirst's *A note on compactness of countable sets* in Simpson's forthcoming book [2].

Picture-sketch of the proof that countable Heine/Borel implies \mathbf{WKL}_0 .

Strategy: Given a tree, build a closed set and a cover.

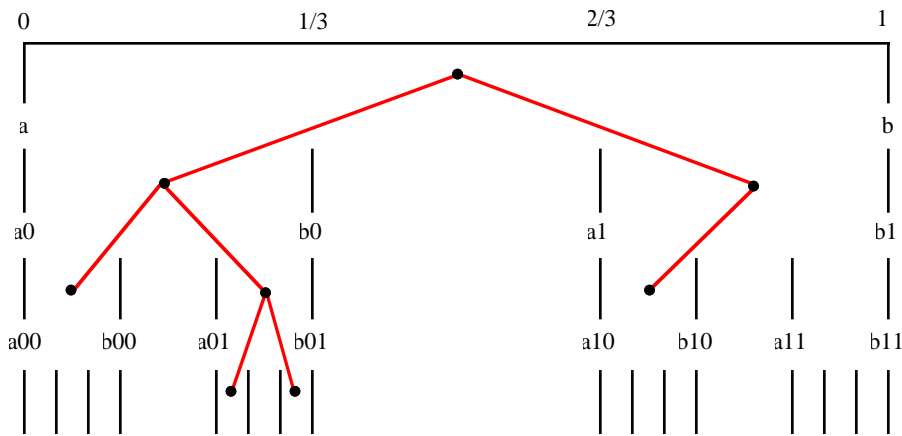


Figure 1: Embedded tree

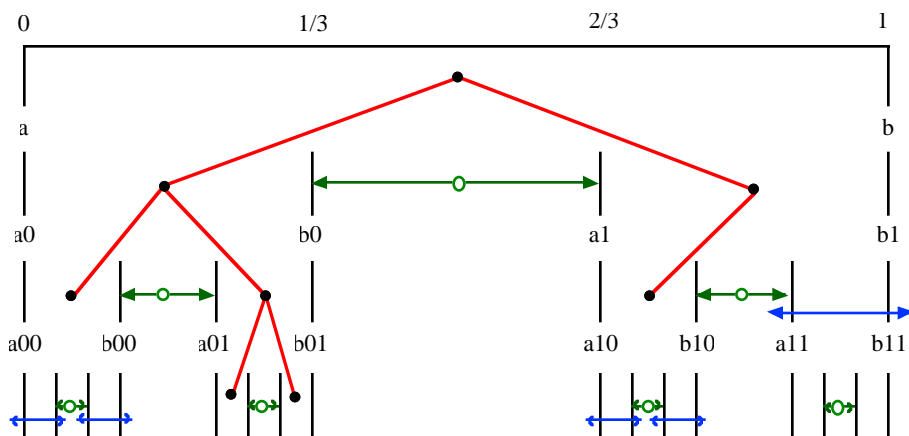


Figure 2: The embedded tree is the complement of a union of open sets.

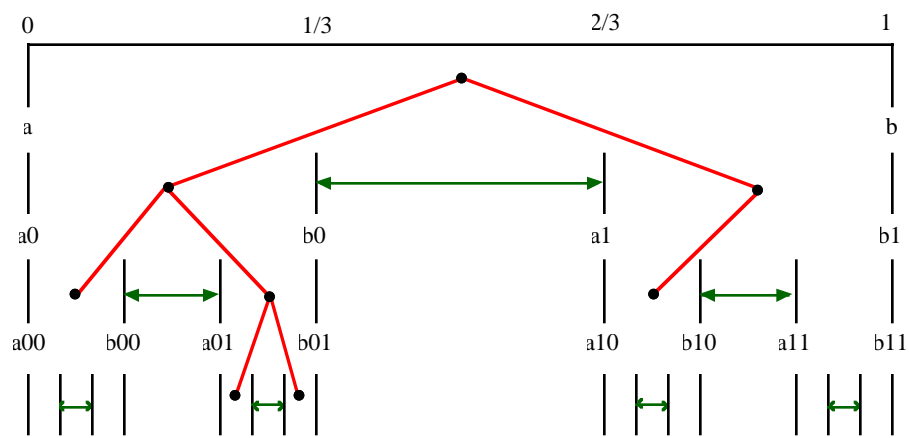


Figure 3: An open cover of the embedded tree.

The preceding theorem is sensitive to the definition of closed set.

We say a set \bar{X} is separably closed if there is a countable set X such that \bar{X} is collection of limits of sequences of elements of X .

Thm: (**RCA**₀) The following are equivalent.

- 1) **ACA**₀, arithmetical comprehension.
- 2) Every separably closed set of rationals in $[0, 1]$ is Heine/Borel compact.

Proof appears in [2].

Veblen ([4] 1905) said “ We may note in passing, what seems to be a new result, that, ... the Heine/Borel theorem is a true theorem of any well-ordered set.”

Thm: (**RCA**₀) If α is a countable well ordered set with a largest element and $\langle (c_i, d_i) \mid i \in \mathbb{N} \rangle$ is a sequence of open intervals covering α , then some finite subsequence covers α .

Sketch of proof: The sequence defined by

$$x_i = \max \left(\{ \min(\alpha) \} \cup \{ \max(\alpha), c_j \mid j < i \} - \cup_{j < i} (c_j, d_j) \right)$$

is a descending sequence.

References

- 1 H. Friedman, *Systems of second order arithmetic with restricted induction, I, II* (abstracts), *Journal of Symbolic Logic*, **41** (1976) 557–559.
- 2 J. Hirst, *A note on compactness of countable sets*, to appear in Simpson's forthcoming volume on reverse mathematics.
- 3 S. Simpson, *Subsystems of second order arithmetic*, *Perspectives in Mathematical Logic*, Springer-Verlag, Berlin, 1999.
- 4 O. Veblen, *Definition in terms of order alone in the linear continuum and in well-ordered sets*, *Trans. of the AMS*, **6** (1905) 165–171.