

More Reverse Mathematics of the Heine-Borel Theorem

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January 9, 2011
ASL at the Joint Mathematics Meetings in New Orleans

These slides are available at www.mathsci.appstate.edu/~jlh

¹ J. Miller was supported by a Graduate Research Associate Mentoring award from the Cratis D. Williams Graduate School at Appalachian State University.

History

One of Friedman's earliest results in reverse mathematics:

Theorem

(RCA_0) *The following are equivalent:*

1. $\text{HB}([0, 1])$ *The Heine-Borel theorem for $[0, 1]$: Every open cover of a closed subset of $[0, 1]$ contains a finite subcover.*
2. WKL_0 *Weak König's Lemma: Every infinite 0 – 1 tree contains an infinite path.*

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Answer: Yes. $\mathbb{Q} \cap [0, 1]$ works.

What other countable subsets of $[0, 1]$ work?

Goals

Naïvely, if the separable closure of X contains uncountably many points, then $\text{HB}(X)$ implies WKL_0 .

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We want two notions $W(X)$ and $S(X)$ satisfying:

- $\text{RCA}_0 \vdash S(X) \rightarrow (\text{HB}(X) \rightarrow \text{WKL}_0)$
- $\text{RCA}_0 \vdash W(X) \rightarrow \text{HB}(X)$
- Some sufficiently strong system will prove $\forall X(S(X) \vee W(X))$.

$S(X)$ Heine-Borel theorem for X is strong.

The definition

$S(X)$ denotes: X is a subset of $[0, 1]$ and there is a countable dense in itself set Y which is contained in every closed superset of X .

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Theorem

(RCA_0) For all X , if $S(X)$ then $HB(X) \rightarrow WKL_0$.

Ideas from the proof

- If $S(X)$ then X contains a set analogous to the midpoints of the Cantor middle third intervals.

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- Given a tree with no infinite paths, the associated “midpoints” form a closed set, with a natural cover.

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- If $S(X)$ then X contains a set analogous to the midpoints of the Cantor middle third intervals.
- Given a tree with no infinite paths, the associated “midpoints” form a closed set, with a natural cover.
- Any finite cover witnesses that the tree is finite.

$W(X)$ Heine-Borel theorem for X is weak.

The definition

$W(X)$ denotes : X is contained in a countable closed subset $F \subseteq [0, 1]$ and there are functions f and g and a well ordering Y satisfying:

- The function $f : F \rightarrow Y$ is one to one.
- For any $b_1, b_2 \in (\mathbb{Q} \cap [0, 1]) \cup \{-.1, 1.1\}$ with $b_1 < b_2$, if $F - (b_1, b_2)$ is nonempty, then the value of $g(b_1, b_2)$ is an element of $F - (b_1, b_2)$ such that

$$\forall x \in F - (b_1, b_2) \quad f(x) \leq f(g(b_1, b_2)).$$

Roughly $W(X)$ says X is contained in a closed subset that can be well ordered in such a way that its nicely defined closed subsets have easily calculated maximums.

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Theorem

(RCA_0) *For all X , if $W(X)$ then $\text{HB}(X)$.*

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Theorem

(RCA₀) For all X , if $W(X)$ then HB(X).

Ideas from the proof

- Suppose $W(X)$ holds. Let A be a closed subset of X and pick a (countable) cover of A . Add (nice open subsets of) the complement of A to get a cover of F .

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- Suppose $W(X)$ holds. Let A be a closed subset of X and pick a (countable) cover of A . Add (nice open subsets of) the complement of A to get a cover of F .
- Pick the (well-ordering) maximum element of F . Pick the first open set in the cover that contains it.

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- Pick the maximum in the remainder of F . (It's smaller than the previous one.) Pick the first open set in the cover that contains it.

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- Pick the (well-ordering) maximum element of F . Pick the first open set in the cover that contains it.
- Pick the maximum in the remainder of F . (It's smaller than the previous one.) Pick the first open set in the cover that contains it.
- Iterate. Since F is well-ordered, the process halts, yielding the desired finite subcover.

The remaining goal

Theorem

(ACA_0) *The following are equivalent:*

1. ATR_0 .
2. *For every closed subset of $[0, 1]$, exactly one of $W(X)$ and $S(X)$ holds.*

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Theorem

(ACA₀) *The following are equivalent:*

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2. *For every closed subset of $[0, 1]$, exactly one of $W(X)$ and $S(X)$ holds.*

Question: Are there other characterizations for $W(X)$ and $S(X)$ that would

- satisfy the goals,
- guarantee that the classes $\{X \mid S(X)\}$ and $\{X \mid W(X)\}$ are subsets in submodels, and
- all us to prove the last theorem in weaker subsystems?

Bibliography

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