

# Computing Minima of Some Reals

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[www.mathsci.appstate.edu/~jlh/snp/slides.html](http://www.mathsci.appstate.edu/~jlh/snp/slides.html))

## Computable functions and sets

A function is *computable* if it:

is defined by a finite deterministic program,

accepts every natural number as an input,

and always produces a natural number output.

The set  $X$  is computable if  $X = \{n \in \mathbb{N} \mid f(n) = 1\}$  for some computable function  $f$ .

## Noncomputable sets

Most subsets of  $\mathbb{N}$  are not computable.

$\{X \subseteq \mathbb{N} \mid X \text{ is computable}\}$  is countable.

$\{X \mid X \subseteq \mathbb{N}\}$  is uncountable.

**Theorem 0.** *There are computable functions  $f$  and  $g$  with disjoint ranges such that for any set  $X$ , if  $X \supseteq \text{Range}(f)$  and  $X^c \supseteq \text{Range}(g)$ , then  $X$  is not computable.*

A *computable real number* is defined by a computable function  $x : \mathbb{N} \rightarrow \mathbb{Q}$  such that  $\forall k \forall i |x(k) - x(k+i)| \leq 2^{-k}$  (that is,  $\langle x(i) \rangle_{i \in \mathbb{N}}$  is a rapidly converging Cauchy sequence of rational numbers.)

Examples of some computable reals:

$\sqrt{2} :$       1, 1.4, 1.41, 1.414, 1.4142, ...

$\pi :$       3, 3.1, 3.14, 3.141, 3.1415, ...

0 :      1,  $\frac{1}{2}$ ,  $\frac{1}{4}$ ,  $\frac{1}{8}$ ,  $\frac{1}{16}$ , ...

0 :      0, 0, 0, 0, 0, ...

## Relationships between computable reals

$x = y$  means:  $\forall k \ |x(k) - y(k)| \leq 2^{-k+1}$

$x \leq y$  means:  $\forall k \ (x(k) \leq y(k) + 2^{-k+1})$

$y < x$  means  $x \not\leq y$ , which is  $\exists k \ (y(k) + 2^{-k+1} < x(k))$

## Finding minima

**Theorem 1.** *Let  $\langle x_k \rangle_{k \in \mathbb{N}}$  be a computable sequence of computable real numbers. Then there is a computable sequence of computable reals  $\langle u_k \rangle_{k \in \mathbb{N}}$  such that for each  $k$ ,  $u_k = \min\{x_j \mid j \leq k\}$ . That is, for each  $k$  we have:*

$$(1) \quad \forall j \leq k \quad (u_k \leq x_j), \text{ and}$$

$$(2) \quad \exists j \leq k \quad (u_k = x_j).$$

## Picking the minima

Let  $u_k(j) = \min\{x_n(j) \mid n \leq k\}$ .

Example:

$x_0$ :	1	1.4	1.41	1.414	1.4142	...	$(\sqrt{2})$
$x_1$ :	3	3.1	3.14	3.141	3.1415	...	$(\pi)$
$x_2$ :	1	$\frac{1}{2}$	$\frac{1}{4}$	$\frac{1}{8}$	$\frac{1}{16}$	...	$(0)$
$x_3$ :	0	$\frac{1}{8}$	$\frac{3}{16}$	$\frac{7}{32}$	$\frac{15}{64}$	...	$(\frac{1}{4})$

## Questions:

- Is  $\langle u_k \rangle_{k \in \mathbb{N}}$  a computable sequence?
- Is each  $u_k$  a computable real?
- Is  $u_k = \min\{x_j \mid j \leq k\}$ ?
- Do we know which  $x_j$  is equal to  $u_k$ ?



Question: Do we know which  $x_j$  is equal to  $u_k$ ?

Answer: Not necessarily.

**Theorem 2.** *There is a computable sequence of computable reals  $\langle x_k \rangle_{k \in \mathbb{N}}$  such that if  $\langle \mu_k \rangle_{k \in \mathbb{N}}$  is any sequence of integers satisfying*

$$\forall k (x_{\mu_k} = \min\{x_j \mid j \leq k\})$$

*then  $\langle \mu_k \rangle_{k \in \mathbb{N}}$  is not computable.*

Question: Do we know which  $x_j$  is equal to  $u_k$ ?

Answer: Sometimes.

**Theorem 3.** *If  $\langle x_k \rangle_{k \in \mathbb{N}}$  is a **nonrepeating** computable sequence of computable reals, then there is a computable sequence of integers  $\langle \mu_k \rangle_{k \in \mathbb{N}}$  such that*

$$\forall k (x_{\mu_k} = \min\{x_j \mid j \leq k\}).$$

## Picking $\mu_k$

Example (of a nonrepeating sequence):

$x_0:$	1	1.4	1.41	1.414	1.4142	...	$(\sqrt{2})$
$x_1:$	3	3.1	3.14	3.141	3.1415	...	$(\pi)$
$x_2:$	1	$\frac{1}{2}$	$\frac{1}{4}$	$\frac{1}{8}$	$\frac{1}{16}$	...	$(0)$
$x_3:$	0	$\frac{1}{8}$	$\frac{3}{16}$	$\frac{7}{32}$	$\frac{15}{64}$	...	$(\frac{1}{4})$

## Constructive Analysis vs. Computable Analysis

Computable Analyst:

We can select minima of initial segments.

We can't select the indices of the minima.

Constructive Analyst:

We can't select the indices, so we can't select the minima.

We can select the minima of nonrepeating sequences.

## Reverse Mathematics

**Theorem 4.** (RCA<sub>0</sub>) *If  $\langle x_k \rangle_{k \in \mathbb{N}}$  is a countable sequence of reals, then there is a sequence of reals  $\langle u_k \rangle_{k \in \mathbb{N}}$  such that for each  $k$ ,  $u_k = \min\{x_j \mid j \leq k\}$ .*

**Theorem 5.** (RCA<sub>0</sub>) *The following are equivalent:*

1. WKL<sub>0</sub>

2. *If  $\langle x_k \rangle_{k \in \mathbb{N}}$  is a sequence of reals, then there is a sequence of integers  $\langle \mu_k \rangle_{k \in \mathbb{N}}$  such that*

$$\forall k (x_{\mu_k} = \min\{x_j \mid j \leq k\}).$$

## Reverse Mathematics

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Stephen G. Simpson. *Subsystems of second order arithmetic*, Springer-Verlag, Berlin, 1999.

## Computable Analysis

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## Constructive Analysis

Errett Bishop and Douglas Bridges. *Constructive analysis*, Springer-Verlag, Berlin, 1985.